

Standards Committee

Tuesday 8 March 2016

7.00 pm

Ground Floor Meeting Room GO1A, Council Offices, 160 Tooley Street,
London SE1 2QH

Membership

Councillor Catherine Dale (Chair)
Councillor David Hubber (Vice-Chair)
Councillor Dora Dixon-Fyle MBE
Councillor Sarah King
Councillor Cleo Soanes
Councillor Rosie Shimell
Councillor Bill Williams

Reserves

Councillor Evelyn Akoto
Councillor Karl Eastham
Councillor Jon Hartley
Councillor Kieron Williams
Councillor Maria Linforth-Hall
Councillor Adele Morris

Independent Persons

William Dee
Charles Wynn-Evans

INFORMATION FOR MEMBERS OF THE PUBLIC

Access to information

You have the right to request to inspect copies of minutes and reports on this agenda as well as the background documents used in the preparation of these reports.

Babysitting/Carers allowances

If you are a resident of the borough and have paid someone to look after your children, an elderly dependant or a dependant with disabilities so that you could attend this meeting, you may claim an allowance from the council. Please collect a claim form at the meeting.

Access

The council is committed to making its meetings accessible. Further details on building access, translation, provision of signers etc for this meeting are on the council's web site: www.southwark.gov.uk or please contact the person below.

Contact

Chidilim Agada on 020 7525 3310 or email: chidilim.agada@southwark.gov.uk

Members of the committee are summoned to attend this meeting

Eleanor Kelly

Chief Executive

Date: 29 February 2016



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Standards Committee

Tuesday 8 March 2016
7.00 pm
Ground Floor Meeting Room GO1A, Council Offices, 160 Tooley Street,
London SE1 2QH

Order of Business

| Item No. | Title | Page No. |
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| | PART A - OPEN BUSINESS | |
| 1. | APOLOGIES | |
| | To receive any apologies for absence. | |
| 2. | CONFIRMATION OF VOTING MEMBERS | |
| | A representative of each political group will confirm the voting members of the committee. | |
| 3. | NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT | |
| | In special circumstances, an item of business may be added to an agenda within five clear days of the meeting. | |
| 4. | DISCLOSURE OF INTERESTS AND DISPENSATIONS | |
| | Members to declare any personal interests and dispensation in respect of any item of business to be considered at this meeting. | |
| 5. | MINUTES | 1 - 3 |
| | To approve as a correct record the Minutes of the open section of the meeting held on 4 November 2015. | |
| 6. | REVIEW OF THE COMPLAINTS MADE UNDER THE CODE OF CONDUCT | 4 - 8 |
| | To note the complaints made under the Code of Conduct. | |

| Item No. | Title | Page No. |
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| 7. | APPOINTMENT OF CO-OPTED MEMBER ON THE STANDARDS (CIVIC AWARDS) SUB-COMMITTEE | 9 - 11 |
|-----------|-------------------------------------------------------------------------------------|---------------|

To appoint a third co-opted member of the standards (civic awards) sub-committee.

- | | | |
|-----------|-----------------------------------------------------------------------------------------|----------------|
| 8. | REPORT ON THE OPERATIONAL USE OF THE REGULATION OF INVESTIGATORY POWERS ACT 2000 | 12 - 16 |
|-----------|-----------------------------------------------------------------------------------------|----------------|

To note the information relating to the use of Regulation of Investigatory Powers (RIPA) for the period 1 October 2015 to 31 December 2015.

ANY OTHER OPEN BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT.

EXCLUSION OF PRESS AND PUBLIC

The following motion should be moved, seconded and approved if the sub-committee wishes to exclude the press and public to deal with reports revealing exempt information:

“That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraphs 1-7, Access to Information Procedure rules of the Constitution.”

PART B - CLOSED BUSINESS

ANY OTHER CLOSED BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT.

Date: 29 February 2016



STANDARDS COMMITTEE

MINUTES of the Standards Committee held on Wednesday 4 November 2015 at 7.00 pm at Ground Floor Meeting Room GO2A, Council Offices, 160 Tooley Street, London SE1 2QH

PRESENT: Councillor David Hubber (Vice-Chair) (In the chair)
 Councillor Dora Dixon-Fyle MBE
 Councillor Dan Garfield
 Councillor Sarah King
 Councillor Cleo Soanes

OTHERS PRESENT: Mr Charles Wynn-Evans (independent person)

OFFICER SUPPORT: Norman Coombe, Head of Corporate Team, Legal Services
 Michael Cleere, Civic Engagement Manager
 Chidilim Agada, Principal Constitutional Officer

1. APOLOGIES

Apologies for absence were received from Mr William Dee (independent person).

2. CONFIRMATION OF VOTING MEMBERS

The members present were confirmed as the voting members.

3. NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT

There were no urgent items of business.

4. DISCLOSURE OF INTERESTS AND DISPENSATIONS

There were none.

5. MINUTES

RESOLVED:

That the minutes of the open section of the meeting held on 2 March 2015 be agreed as a correct record and signed by the chair.

6. REVIEW OF MEMBER AND OFFICER PROTOCOL AND COMMUNICATIONS PROTOCOL (VERBAL UPDATE)

The committee noted that the standards committee and the monitoring officer jointly keep the member and officer protocol and communications protocol under review and make recommendations for changes as appropriate. However this year no issues were identified with the current protocols, therefore no amendments were proposed.

7. ESTABLISHMENT OF A STANDARDS SUB-COMMITTEE TO DEAL WITH CIVIC AWARDS

The civic engagement manager updated the committee on the work programme for the 2016 civic awards. The committee noted the existing Civic Award categories, criteria and eligibility and also noted that apart from the Civic Awards, Southwark Council has the prestigious Housing Heroes award. The committee agreed that following the Housing Heroes awards on 28 January 2016, it will look at reviewing the Civic Awards categories in order that the awards recognise exceptional contributions to the civic life in the borough.

RESOLVED:

1. That a standards (civic awards) sub-committee with the size and composition set out below be established having had regard to the guidance set out in paragraph 13 of the report:

| | Labour (3) | Liberal Democrat (1) | Conservatives (0) |
|---------------|----------------------------------------------------------------------------------------------------------------|----------------------|-------------------|
| 1. | Cleo Soanes | | |
| 2. | Dora Dixon-Fyle | | |
| 3. | Sarah King | | |
| 4. | | Rosie Shimell | |
| Co-optees (3) | | | |
| 5. | Mr Gordon McCullough, Chief Executive of Community Action Southwark, subject to confirmation by the appointee. | | |
| 6. | Mr Gary Magold, local community representative, subject to confirmation by the appointee. | | |
| 7. | Appointee to be agreed at the next meeting of the standards committee in March 2016. | | |

2. That Councillor Cleo Soanes be appointed chair and Councillor

Rosie Shimell be appointed vice-chair of the standards (civic awards) sub-committee.

3. That the committee note the role and functions/matters reserved of the standards (civic awards) sub-committee as set out in paragraph 14 of the report) as agreed by council assembly on 8 July 2015.

8. REPORT ON THE OPERATIONAL USE OF THE REGULATION OF INVESTIGATORY POWERS ACT 2000

RESOLVED:

That the information relating to the use of Regulation of Investigatory Powers Act (RIPA) for the period 1 January 2015 and 30 September 2015 be noted.

ANY OTHER BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT.

There were none.

EXCLUSION OF PRESS AND PUBLIC

It was moved, seconded and

RESOLVED:

That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in category 1 of paragraph 10.4 of the Access to Information Procedure Rules of the Southwark Constitution.

9. MINUTES

The minutes of the closed section of the meeting held on 2 March 2015 were agreed as a correct record and signed by the chair.

Meeting ended at 7.23 pm

CHAIR:

DATED:

Agenda Item 6

| | | | |
|------------------------------------|--------------------------------|---------------------------------------------------------|---------------------------------------------|
| Item No. 6. | Classification: Open | Date: 8 March 2016 | Meeting Name: Standards Committee |
| Report title: | | Review of the complaints made under the Code of Conduct | |
| Ward(s) or groups affected: | | All | |
| From: | | Director of Law and Democracy | |

RECOMMENDATIONS

1. That the committee notes this report.

BACKGROUND INFORMATION

2. The Localism Act 2011 (“the Act”) provides for the abolition of the former standards regime including Standards for England, statutory standards committees, the jurisdiction of the First Tier Tribunal over standards of conduct, and a nationally set code of conduct for councillors.
3. Southwark formed a standards committee and appointed independent persons. Southwark have 2 independent persons.

KEY ISSUES FOR CONSIDERATION

4. The Act requires local authorities to have arrangements to investigate allegations of breach of the code of conduct against members and make decisions on them. The current arrangements have been in place since 1 July 2012.
5. In August 2013, the Committee for Standards in Public Life produced an Annual Report which expressed concern at the operation of the standards regime since it was revised through the Localism Act 2011. The committee expressed concern in particular about:
 - the operation of the standards regime in local authorities where leadership was inadequate;
 - the lack of meaningful sanctions;
 - the weakness of the ‘independent person’ arrangements; and
 - the lack of time that was available for transition to the new system.
6. Since 2012 the monitoring officer agreed to analyse the complaints data and report this information to Standards Committee annually. The data for January 2010 to December 2015 is shown in Appendix A.

Conclusions

7. Since the initial complaints in 2012 post Localism Act the number of complaints returned to pre Localism Act levels. The new arrangements have allowed the monitoring officer to provide local solutions to resolve complaints without formal investigations.

8. There may be a number of reasons for this, such as the current lack of sanctions available has inhibited complaints. The intervention of the Whips to resolve complaints involving members may also be another factor. This may be particularly true of complaints by officers.
9. The ability of the monitoring officer to deal with complaints informally may have lead to less formal complaints. As to the use of the filter with the independent person, this does not appear to be a factor at this stage. The independent person has considerable experience and they have been useful in assisting the monitoring officer in assessing complaints and revising procedures.
10. The cost of these complaints is difficult to quantify because officer and member time in assisting with the complaint is not all recorded, however payments to external solicitors or investigating officers can be quantified.

Complaints which required Investigation

LF002 – 14

11. This was a complaint against a member from a member of the public. Following an investigation the investigating officer found the member had not breached the code of conduct.
12. The committee should note the cost of this investigation was as follows

| | |
|----------|----------|
| External | £3105.00 |
| Internal | £ 657.50 |
| Total | £3762.50 |

LF001 – 15

13. This was a complaint against a member from a member of the public. Following an investigation the investigating officer found the member had not breached the code of conduct.
14. The committee should note the cost of this investigation was as follows

| | |
|----------|----------|
| External | £2290.00 |
| Internal | £ 878.50 |
| Total | £3168.50 |
15. The average time taken to conclude an external investigation is about 20 weeks compared with 12 weeks.

Community impact statement

16. The ability for members of the public to make complaints about councillors' failure to comply with a code of conduct may be of concern to local people and communities which could result in a perception of poor governance. This could affect the reputation of the council.
17. However the council maintains an open and transparent process for making complaints against members, information is assessable on the council's website.

Resource implications

18. Any implications can be maintained within current budgets.

Legal implications

19. The specific legal implications relating to this report have been included in the report.

BACKGROUND DOCUMENTS

| Background Papers | Held At | Contact |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| The Constitution | http://www.southwark.gov.uk/YourCouncil/HowTheCouncilWorks/councilconstitution.html 2nd floor, PO Box 64529, London, SE1P 5LX | Chidilim Agada 020 7525 3310 |
| | | |

APPENDICES

| No. | Title |
|------------|----------------------|
| Appendix A | Complaints Breakdown |

AUDIT TRAIL

| | | |
|-------------------------------------------------------------------------|------------------------------------------------------|--------------------------|
| Lead Officer | Doreen Forrester-Brown Director of Law and Democracy | |
| Report Author | Norman Coombe, Head of Corporate Team | |
| Version | Final | |
| Dated | 01/02/2016 | |
| Key Decision? | No | |
| CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER | | |
| Officer Title | Comments Sought | Comments included |
| Director of Law and Democracy | Yes | Incorporated |
| Strategic Director of Finance and Governance | No | No |
| Cabinet Member | Not applicable | Not applicable |
| Date final report sent to Constitutional Team | 01/02/2016 | |

Appendix A - Complaints Breakdown

Between May 2010 and July 2012 matter were dealt with by the Standards Sub - Committee

| Year | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 |
|---------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------------------------------------------|
| Total number of complaints | 7 | 7 | 12 ¹ | 4 | 3 | 4 |
| Member complains against member | Total 4 1 [sent for investigation breach upheld] 1 [sent for investigation no breach] 2 [no further action] | None | Total 4 1 [sent for investigation complaint withdrawn] 2 [sent for investigation no breach] 1 [local solution by monitoring officer] | Total 2 1 [sent for investigation no breach] 1 [local solution by monitoring officer] | None | None |
| Member of public complains against members | Total 1 1 [sent for investigation no breach] | Total 6 1 [sent for investigation no breach] 5 [no further] | Total 8 1 [sent for investigation no breach] 7 [no further] | Total 2 1 [sent for investigation no breach] 1 [no further] | Total 3 1 [sent for investigation local solution by monitoring] | 4 ² 1 [local solution by monitoring officer] |

¹ 5 received before July 2012

² 2 still under investigation

Appendix A - **Complaints Breakdown**

| Year | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 |
|------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------|---------|---------|-----------------------------------------------------------------------------------|-----------------------|
| | | action] | action] | action] | officer] 1 [sent for investigation no breach] 1 [no further action] | 1 [no further action] |
| Officer complains against members | Total 2 1 [sent for investigation no breach] 1 [no further action] | Total 1 1 [sent for investigation breach upheld] | None | None | None | None |

| | | | |
|------------------------------------|--------------------------------|------------------------------------------------------------------------------|---------------------------------------------|
| Item No. 7. | Classification: Open | Date: 8 March 2016 | Meeting Name: Standards Committee |
| Report title: | | Appointment of co-opted member on the Standards (Civic Awards) Sub-Committee | |
| Ward(s) or groups affected: | | All | |
| From: | | Proper Constitutional Officer | |

RECOMMENDATION

1. To appoint a third co-opted member of the standards (civic awards) sub-committee having regard to the guidance in paragraph 7 of this report.

BACKGROUND INFORMATION

2. Council assembly on 8 July 2015 made a resolution that from the 2015/16 civic year, the administration of the civic awards be carried out by the council pending a longer term review of the operation of the awards scheme.
3. Council assembly on 8 July 2015 also agreed that a standards (civic awards) sub-committee be established and that the decisions on the granting of civic awards be delegated to the sub-committee. It approved the necessary changes to the constitution.
4. Standards committee on 4 November 2015 established a standards (civic awards) sub-committee for the municipal year 2015/16, with the size and composition set out below:

| | | | |
|----|---------------------|----------------------------|-------------------|
| | Labour (3) | Liberal Democrat (1) | Conservatives (0) |
| 1. | Cleo Soanes (Chair) | | |
| 2. | Dora Dixon-Fyle | | |
| 3. | Sarah King | | |
| 4. | | Rosie Shimell (Vice-chair) | |

Co-optees (3)

5. Mr Gordon McCullough, Chief Executive of Community Action Southwark.
6. Mr Gary Magold, local community representative.
7. Appointee to be agreed at the next meeting of the standards committee in March 2016.

KEY ISSUES FOR CONSIDERATION

5. The granting of civic awards is a constitutional function of standards committee as set out in Part 3L of the council's constitution. The standards committee has delegated this function to a sub-committee. Standards sub-committee members have the final discretion whether or not to grant an award, and on the level of award that should be granted.

6. The following matters are reserved for decision by the standards (civic awards) sub-committee:
 - (1) to grant civic awards.
 - (2) to consider the process by which the decisions with respect to civic awards applications are to be taken and to make recommendations to the standards committee.
 - (3) to appoint non-voting co-opted members.
7. Subject to consideration by members, it is proposed that the third non-voting co-opted member on the sub-committee be a representative of the local community. Officers from the council's civic office will put forward recommendations(s) to the sub-committee.
8. The council's co-opted members are required to sign the declaration of acceptance of office and agree to be bound by the council's code of conduct. Co-opted members are also expected to complete the council's register of interest form. This is retained by the proper constitutional officer and is available for public inspection; co-opted member's forms are not published on the council's website. Co-opted members may receive closed information relating to their roles and in accordance with the code will be expected to maintain strict confidentiality and ensure these documents are disposed of in an appropriate way.

Community impact statement

9. The sub-committee is intended to have a positive community impact by improving the administration and in particular the equalities monitoring of the civic awards scheme

Resource implications

10. There are no significant budget implications arising from the proposals set out in this report. Non-statutory co-opted members are able to claim re-imbusement of travelling and subsistence. They are entitled to claim child-care and dependent carer's allowance. The terms and conditions applying to these expenses and allowances are set out in the member allowances scheme published in the council's constitution. It is anticipated that the recommended changes can be maintained within existing resources.

BACKGROUND DOCUMENTS

| Background Papers | Held At | Contact |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|------------------------------------------------------------------------|
| Council assembly agenda dated 8 July 2015 http://moderngov.southwark.gov.uk/ieListDocuments.aspx?CId=132&MId=5063&Ver=4 | Constitutional Team 160 Tooley Street London SE1 2QH | Chidilim Agada Principal Constitutional Officer 020 7525 3310 |
| Standards committee agenda dated 4 November 2015 http://moderngov.southwark.gov.uk/ieListDocuments.aspx?CId=117&MId=5169&Ver=4 | | |
| Member allowances scheme – Published January 2015 | | |

APPENDICES

| No. | Title |
|------------|--------------|
| None. | |

AUDIT TRAIL

| | | |
|-------------------------------------------------------------------------|--------------------------------------------------|--------------------------|
| Lead Officer | Ian Millichap, Constitutional Manager | |
| Report Author | Chidilim Agada, Principal Constitutional Officer | |
| Version | Final | |
| Dated | 22/01/2016 | |
| Key Decision? | No | |
| CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER | | |
| Officer Title | Comments Sought | Comments Included |
| Director of Law and Democracy | Yes | None |
| Strategic Director of Finance and Governance | No | Not applicable |
| Cabinet Member | Not applicable | Not applicable |
| Date final report sent to Constitutional Team | | 02/02/2016 |

| | | | |
|------------------------------------|--------------------------------|----------------------------------------------------------------------------------|---------------------------------------------|
| Item No. 8. | Classification: Open | Date: 8 March 2016 | Meeting Name: Standards Committee |
| Report title: | | Report on the operational use of the Regulation of Investigatory Powers Act 2000 | |
| Ward(s) or groups affected: | | All | |
| From: | | Director of Law and Democracy | |

RECOMMENDATION

1. That the committee note the information relating to the use of Regulation of Investigatory Powers Act (RIPA) for the period shown.

BACKGROUND INFORMATION

2. The Regulation of Investigatory Powers Act 2000 (RIPA) puts a regulatory framework around a range of investigatory powers used by local authorities. This is done to ensure the powers are used lawfully and in a way that is compatible with the European Convention on Human Rights. It also requires, in particular, those authorising the use of covert techniques to give proper consideration to whether their use is necessary and proportionate.
3. RIPA legislates for the use by local authorities of covert methods of surveillance and information gathering to assist in the detection and prevention of crime in relation to an authorities core functions.
4. At their meeting on 13 October 2010 the former committee agreed to consider reports on the use of RIPA.

KEY ISSUES FOR CONSIDERATION

5. The council's use of these powers is subject to regular inspection and audit by the Office of the Surveillance Commissioner (OSC) in respect of covert surveillance authorisations under RIPA. During these inspections, authorisations and procedures are closely scrutinised and Authorising Officers are interviewed by the inspectors.
6. From 1 November 2012 local authorities were required to obtain judicial approval prior to using covert techniques. Local authority authorisations and notices under RIPA (Regulation of Investigatory Powers Act 2000) will only be given effect once an order has been granted by a Justice of the Peace.
7. Additionally, from that date local authority use of directed surveillance under RIPA will be limited to the investigation of crimes which attract a six month or more custodial sentence, with the exception of offences relating to the underage sale of alcohol and tobacco.

8. Appendix A shows the usage for the period 1 October 2015 to 31 December 2015. Appendix B shows the usage for the period 1 April 2010 to 30 September 2015 for comparison purposes.

BACKGROUND DOCUMENTS

| Background Papers | Held At | Contact |
|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| The Constitution. | http://www.southwark.gov.uk/YourCouncil/HowTheCouncilWorks/councilconstitution.html 2nd floor, PO Box 64529, London, SE1P 5LX | Chidilim Agada 020 7525 3310 |

APPENDICES

| No. | Title |
|------------|------------------------------------|
| Appendix A | 1 October 2015 to 31 December 2015 |
| Appendix B | 1 April 2010 to 30 September 2015 |

AUDIT TRAIL

| | | |
|-------------------------------------------------------------------------|-------------------------------------------------------|--------------------------|
| Lead Officer | Doreen Forrester-Brown, Director of Law and Democracy | |
| Report Author | Norman Coombe, Head of Corporate Team | |
| Version | Final | |
| Dated | 18/01/2016 | |
| Key Decision? | No | |
| CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER | | |
| Officer Title | Comments Sought | Comments included |
| Director of Law and Democracy | Yes | Incorporated |
| Strategic Director of Finance and Governance | No | No |
| Cabinet Member | Not applicable | Not applicable |
| Date final report sent to Constitutional Team | 3/02/2016 | |

APPENDIX A

| Reference | Date authorised applied for | Purpose | Length of investigation | Were investigators given extra authorisation to acquire/access confidential information? |
|------------------|------------------------------------|-----------------------------|--------------------------------|-------------------------------------------------------------------------------------------------|
| EN69 | 18.09.2015 | Sale of counterfeit tobacco | Terminated 01.12.2015 | NO |
| EN70 | | Sale of counterfeit tobacco | Refused | N/A |
| EN71 | 23.12.2015 | Sale of counterfeit tobacco | | |
| EN72 | 23.12.2015 | Sale of counterfeit tobacco | Terminated 01.02.2016 | |
| EN73 | 23.12.2015 | Sale of counterfeit tobacco | | |
| EN74 | 23.12.2015 | Sale of counterfeit tobacco | Terminated 01.02.2016 | |

APPENDIX B

| Reference | Date authorised applied for | Purpose | Length of investigation | Were investigators given extra authorisation to acquire/access confidential information? |
|------------------|------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------|-------------------------------------------------------------------------------------------------|
| EN55 | 01.04.2010 | Covert surveillance to detect fraud | Authorisation cancelled 12.05.2010 | No |
| N/A | 29.04.2010 | Proposed use of covert CCTV to gather evidence of begging & ASB | Refused | N/A |
| N/A | 24.06.2010 | Proposed covert surveillance of bar/club by Officers to establish whether unauthorised activities taking place | Refused | N/A |
| EN57 | 13.07.2010 | Under age goods sales test purchasing - | 3 months | Yes |
| EN58 | 01.04.2011 | Under age goods sales test purchasing - | 3 months | No |
| EN59 | 11.05.2011 | Under age goods sales test purchasing - | 3 months | Yes |
| EN60 | 20.10.2011 | Under age goods sales test purchasing | 3 months | Yes |
| EN61 | 28.10.2011 | Directed surveillance for the identification of persons supplying illegal products | 3 months | Yes |
| EN62 | 09.02.2012 | Under age goods sales test purchasing | 3 months | Yes |

| Reference | Date authorised applied for | Purpose | Length of investigation | Were investigators given extra authorisation to acquire/access confidential information? |
|------------------|------------------------------------|---------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------------------------------|
| EN63 | 30.06.2012 | Under age goods sales test purchasing | 3 months | No |
| EN64 | 25.10.2012 | Under age test purchase for the sale of tobacco, alcohol and knives | 3 months | No |
| EN65 | 25.11.2013 | Sale of counterfeit tobacco | Terminated 10.01.2014 | No |
| EN66 | 20.01.2014 | Sale of counterfeit tobacco | Terminated 04.04.2014 | No |
| EN67 | 15.11.2014 | Sale of counterfeit tobacco | Refused | N/A |
| EN68 | 15.12.2014 | Sale of counterfeit tobacco | Terminated 07.01.2015 | No |

STANDARDS COMMITTEE DISTRIBUTION LIST (OPEN)**MUNICIPAL YEAR 2015-16**

NOTE: Original held by Constitutional Team; all amendments/queries to
Chidilim Agada Email: chidilim.agada@southwark.gov.uk Tel: 020 7525 3310

| Name | No of copies | Name | No of copies |
|---------------------------------------|--------------|--------------------------------------------|--------------|
| Members | | Officers | |
| Councillor Catherine Dale | 1 | Doreen Forrester-Brown | 1 |
| Councillor Dora Dixon-Fyle MBE | 1 | Chidilim Agada | 5 |
| Councillor David Hubber | 1 | Ian Millichap | 1 |
| Councillor Sarah King | 1 | Norman Coombe | 1 |
| Councillor Rosie Shimell | 1 | Stephen Douglass | 1 |
| Councillor Cleo Soanes | 1 | Michael Cleere | 1 |
| Councillor Bill Williams | 1 | | |
| Reserves - by email | | Others | |
| Councillor Evelyn Akoto | | Liz Olive, Audit Commission, Tooley Street | 1 |
| Councillor Karl Eastham | | | |
| Councillor Jon Hartley | | | |
| Councillor Kieron Williams | | | |
| Councillor Maria Linforth-Hall | | | |
| Councillor Adele Morris | | | |
| Independent Persons – by email | | Total: 18 | |
| William Dee | | Dated: February 2016 | |
| Charles Wynn-Evans | | | |